



## 服務收費

### 交易費用

佣金	交易金額之 0.25% (可議) 每宗交易最低 HK\$100 (可議)
交易徵費	交易金額之 0.0027%
交易所費用	交易金額之 0.00565%
中央結算交收費及其他	交易金額之 0.002% (每宗買賣最低 HK\$2, 最高 HK\$100)
政府印花稅	交易金額之 0.10% 每宗交易最低 HK\$1
會財局交易徵費	交易金額之 0.00015%

### 實物股票處理收費

存倉費	5,000 手或以上, 收\$0.02/手
存入實貨費	每種股票收費為其總值之 0.05%, 最低收費\$20 (股票價值以上日收市計算) 另加過戶費用每張 HK\$5 <b>註1</b>
提取實貨費	每次收取貨值之 0.1%, 最低收費\$500 (股票價值以上日收市計算) 另加中央結算收費: 每手 HK\$5 <b>註2</b>
見證人服務	每張 HK\$500 (非經本公司提出)

### 電子交收指示收費

存入交收指示	每種股票收費為其總值之 0.05%, 最低收費 HK\$10 (股票價值以上日收市計算) (可議)
提取交收指示	每種股票收費為其總值之 0.1%, 最低收費 HK\$500 (股票價值以上日收市計算) (可議)
投資者交收指示費	每種股票 HK\$5
即時貨銀對付交收費	每種股票 HK\$100

### 代理人服務

經中央結算強制補購	每宗 HK\$2,000 另加 a. 強制性代補購交易之金額 b. 中央結算手續費及 c. 利息
-----------	---

### 資本投資者入境計劃

周年申報費用	每年 HK\$5,000
--------	--------------

### 資產管理

管理費	每年 1.5% (可議)
表現費	10% 帳戶淨資產值之百分比 (可議)

註1: 客人可沽出即日存入之股票, 款項需於存入股票日起最多 14 個工作天後才可提取  
註2: 碎股亦視作一個買賣單位計算, 股票價值以上日收市計算

## Service Charges

### Trade-Related Services Charges

Commission	0.25% per trade (negotiable) (min HK\$100) (negotiable)
Transaction levy	0.0027% per trade (to the closest cent)
Trading fee	0.00565% per trade (to the closest cent)
CCASS stock settlement fee	0.002% per trade (min HK\$2, max HK\$100)
Stamp duty	0.10% per trade (min HK\$1)
AFRC Levy	0.00015% per trade

### Physical Scrip Handling

Custodian fee	Over 5,000 board lot, \$0.02/lot
Deposit charge	0.05% on value at previous trading day per stock code (min HK\$20 ) + Transfer stamp duty HK\$5 per deed <b>#1</b>
Withdrawal charge	0.1% of on value at previous trading day per stock (min HK\$500 ) + Scrip Fee : HK\$5 per log <b>#2</b>
Witness service	HK\$500 per Deed

### Settlement Instruction Charges

Settlement Instruction (S.I.) Deposit	0.05% on value of eligible securities at previous trading day closing per stock (min HK\$10) (negotiable)
Settlement Instruction (S.I.) Withdrawal	0.1% on value of eligible securities at previous trading day closing per stock code (min HK\$500) (negotiable)
Investor Settlement Instruction (I.S.I.) Deposit/ Withdrawal	HK\$5 per deed
Real Time Delivery Against Payment	HK\$100 per stock code

### Nominee Services

Compulsory share buyback through CCASS	HK\$2,000 per trade + a. Cash amount of compulsory share buyback b. CCASS handling charge c. Interest
---	---

### Capital Investment Entrant Scheme

Annual Return Fee	HK\$5,000 annually
-------------------	--------------------

### Asset Management

Management Fee	1.5% per annum (negotiable)
Annual Performance Fee	10% of the increase in net asset value (negotiable)

#1: Deposited physical stock can be sold on the same day, but cash can only be withdrawn after maximum 14 working days  
#2: Odd lots are charged as board lots



## 服務收費

### 企業行動

行使現金結算認股權證 贖回上市票據及債券	豁免
存倉股份合併或分拆	豁免
中央結算截止過戶費	每手 HK\$1.50
代收現金股息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$50, 最高收費 HK\$20,000)
以股代息	每種股票收費為股息之 0.5% (每宗交易最低收費 HK\$50, 最高收費 HK\$20,000)
代收紅股/ 供股權/ 認股權證或其他權益	每宗交易 HK\$50
經中央結算行使供股權/ 認股權證/認購配售證券或 其他權益	每宗交易 HK\$500 另加每手 HK\$0.80 <b>註2</b>
申請額外供股	每宗交易 HK\$500 另加每手 HK\$0.80 <b>註2</b>
經中央結算行使私有化回購	每宗交易金額之 0.05% 另加每手 HK\$0.80 <b>註2</b> (每宗交易最低 HK\$100)
新股認購	認購新股(電子)HK\$100 認購新股(孖展) HK\$200 另加保證金 利息 (中銀香港最優惠利率 + 3%)

### 其他服務收費

現金帳戶借欠利息	中銀香港最優惠利率 + 5%
保證金帳戶借欠利息	中銀香港最優惠利率 + 3%
保證金追收利息	中銀香港最優惠利率 + 5%
客戶支票退票	每宗退票手續費 HK\$500 另加短欠之利息
串流報價服務	每月 HK\$400
銀行電子過帳系統	每次 HK\$300
代客追收未領取之股息/ 紅股/供認股權證/ 或其他權益	每宗 HK\$2,000 另加中央結算收費
索取月結單副本費用	豁免(最近三個月), 超過三個月前每頁收費 HK\$50
場外證券交易匯報收費	每單 HK\$1000
代辦過戶處轉名手續費	每手 HK\$2.50 另加每一單股票 HK\$200 手續費
資產證明信函	每封信 HK\$200
代辦股票買賣書	最後收市價 0.1%~0.25% (最低港幣 500元)每邊,另加政府印花稅(如有)
停止付款	每次 HK\$300
審核確認書費用	每次 HK\$500

**註1:** 客人可沽出即日存入之股票, 款項需於存入股票日起最多 14 個工  
作天後才可提取  
**註2:** 碎股亦視作一個買賣單位計算, 股票價值以上日收市計算

## Service Charges

### Corporate Actions

Exercise of warrants, redemption of listed notes & bond	Waived
Custody of share consolidation/ share splitting	Waived
Scrip fee	HK\$1.50 per board lot or its equivalent
Cash/ scrip dividend collection	0.5% of each cash amount of dividend (min HK\$50, max HK\$20,000 per transaction )
Scrip dividend	0.5% of each cash amount of dividend (min HK\$50, max HK\$20,000 per transaction)
Collection of bonus issue, right issue, stock warrants	HK\$ 50 per transaction
Exercise of right subscription/ stock warrants/ securities placement subscription/ cash offer	HK\$500 per transaction+ HK\$0.80per lot <b>#2</b>
Excess rights application	HK\$500 per transaction+ HK\$0.80per lot <b>#2</b>
Exercise of privatization	0.05% per transaction+ HK\$0.80 per lot <b>#2</b> (min HK\$100 per transaction)
IPO	HK\$100 per application (Electronic) HK\$200 per application (Margin)+ Margin financing interest (Bank of China (HK) prime rate + 3%)

### Other Services

Overdue settlement interest (cash A/C)	Bank of China (HK) prime rate + 5%
Margin financing interest (margin A/C)	Bank of China (HK) prime rate + 3%
Margin call interest (margin A/C)	Bank of China (HK) prime rate + 5%
Client's return cheque charge	HK\$500 per cheque+ Interest charge
Streaming Quote Services	HK\$400 monthly
Fund transfer by CHATS	HK\$300 per transaction
Claim unclaimed dividends/ bonus share/ stock warrants/ other interests	HK\$2,000 + CCASS handling charge
Photocopying of Statement	Waived (Within last 3 months), HK\$50 per page more than last 3months
Over The Counter Reporting Charge	HK\$1000 per transaction
Stock Registration fee	HK\$2.50per board lot and HK\$200 handling charge for each stock
Letter of Confirmation on Asset	HK\$200 per letter
Share transfer by Bought & Sold note	0.1%~0.25% of previous market value(min equivalent HK\$500) per side+ stamp duty(if any)
Stop Payment Charge	HK\$300 per transaction
Audit Confirmation Fees	HK\$500 per time

**#1:** Deposited physical stock can be sold on the same day, but cash can only be withdrawn  
after maximum 14 working days  
**#2:** Odd lots are charged as board lots